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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/772,088
		Filing Date	Feb 3, 2004
		First Named Inventor	Minano, Juan Carlos
		Art Unit	2621
		Examiner Name	
Total Number of Pages in This Submission	5*	Attorney Docket Number	3084.021

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter	
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return postcard to Applicant's Attorney	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application			
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
Remarks			
*Exclusive of patent, patent application, and publication copies.			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	SINSHEIMER, SCHIEBELHUT & BAGGETT by Julie A. Hopper (Reg. No. 50869)	
Signature		
Date	May 17, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

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Type or printed	by Julie A. Hopper		
Signature		Date	May 17, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Minano et al.

Serial No.: 10/772,088

Filed: 2/3/2004

Title: ETENDUE-SQUEEZING
ILLUMINATION OPTICS

Attorney Docket No.: 3084.021

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Group Art Unit:
2621
Examiner:

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- X under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
— Statement under 37 CFR 1.97(e), or
— a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
— Statement under 37 CFR 1.97(e), and
— a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO/SB/08A and PTO/SB/08B Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08A and PTO/SB/08B are either in the English language or an English translation is provided, therefore, no further explanation is required, with the exception of the following non-English reference. A concise explanation, as presently understood, is as follows:

SU 1282051 A1 appears to be related to a luminous energy collimator having a paraboloid axis inclined at angle towards symmetry axis given by relationship.

Applicant submits that the above references taken alone or in combination neither anticipates nor renders obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

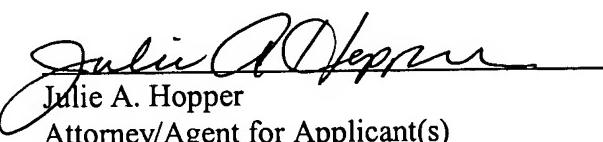
SINSHEIMER, SCHIEBELHUT &
BAGGETT

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Date of Deposit: May 17, 2004

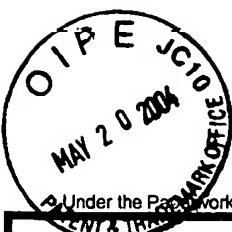
Typed Name: Julie A. Hopper

Signature: 


Julie A. Hopper
Attorney/Agent for Applicant(s)
Reg. No. 50869

Date: May 17, 2004

Telephone No.: (805) 781-2824



PTO/SB/08A (06-03)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

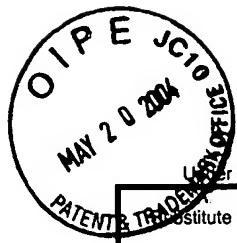
Sheet 1 of 2 Attorney Docket Number 3084.021

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)			
		SU 1282051 A1	01-07-1987	Spigulis	
		EP 0 450 560 A2	10-09-1991	Totah, et al.	
		WO 99/09349	02-25-1999	De Coma International Inc.	
		WO 99/13266	03-18-1999	Simon	
		WO 01/07828 A1	02-01-2001	Pelka	
		WO 03/066374 A2	08-14-2003	Steen, et al.	
		WO 03/066374 A3	08-14-2003	Steen	
		WO 04/007241 A2	01-22-2004	Steen, et al.	

**Examiner
Signature** _____ **Date
Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (06-03)

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(use as many sheets as necessary)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
Sheet	2	of	2	Application Number	10/772,088
				Filing Date	2/3/2004
				First Named Inventor	Minano et al.
				Art Unit	2621
				Examiner Name	
				Attorney Docket Number	3084.021

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional).² **'Applicant is to place a check mark here if English language Translation is attached.**

Applicant's Unique Citation Designation Number (optional): Applicant is to place a checkmark here if using a unique citation designation number. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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